



Agenda Item 6

Meeting	Police and Crime Panel
Date	3 rd September 2020
Report Title	Police and Crime Commissioner - Risk Register
Presented by:	Angus Macpherson, Police and Crime Commissioner
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1.0 Purpose of Report

1.1 To update the Police and Crime Panel members on the PCC Risk Register.

2.0 Introduction and Background Information

2.1 The risk register is aligned to the lifespan of the Police and Crime Plan (2017-2021) and has been reviewed and updated as at 30 July 2020.

2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus removed the risk from the register.

2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation, rather than being a record of all possible risks.

2.4 The register is reviewed monthly on an informal basis by the Deputy Chief Executive and formally by the Commissioner's Monitoring Board on a quarterly basis, prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. It is now also considered at OPCC Executive Leadership Team meetings on a monthly basis. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.

2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.

2.6 The risk appetite remains at 30.

2.7 The PCC Risk Register is attached at Appendix A.

2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets for identifying risks as high, moderate, acceptable or minor.

3.0 Key Risks to Consider – Inherent

3.1 The register identifies eleven inherent risks; seven are considered as either minor or acceptable, and four are considered moderate.

3.2 Details on the four risks considered moderate are:

- *Risk I2: Failure to produce a MTFs that enables the PCC to deliver his P&C Plan priorities and fails to identify delivery of necessary savings*
Following review of this risk, the likelihood has been increased from 2 to 3. This is to recognise the risk of reduced resources from 2021-22 for which a new risk (T13) has been created.
- *Risk I6: Partners make decisions that impact upon the PCC and Police without discussion or involvement in decision making process*
The Resilience cells which had been established by both Local Authorities worked well and have morphed into Recovery cells. Good and effective partnership working is still taking place and as a result the likelihood scoring has reduced from 4 to 3.
- *Risk I7: PCC estate fails to enable effective and efficient policing*
The refurbishment of Royal Wootton Bassett police station has been completed and was reopened at the end of July 2020. Despite Covid-19, the Commissioner's Estate Strategy is still being delivered. Covid-19 has had a positive impact on the cultural benefits of remote working and these will be embraced by the Office of the Police and Crime Commissioner and the Force to ensure these remain.
- *Risk I9: Police collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits*
Scoring is maintained at 18 and the risk continues to be monitored through the Tri-Force and regional collaborations operations and strategic boards.

4.0 Key Risk to Consider – Topical

4.1 The register identifies five topical risks (four existing risks and one new risk). Of the existing risks, two are considered moderate and one is considered major. Details on these risks are provided in Paragraph 5.3 below.

4.2 Details on the new topical risk can be found at Paragraph 6.1 below.

4.3 Details on the one existing risk considered major is:

- *Risk T12: Impact of Covid-19 on criminal justice capacity and backlog*
The risk event has been amended to better reflect that this risk is associated with the wider criminal justice system and not just policing (previously this was: *impact of Covid-19 on policing and criminal justice*). The Criminal Justice Board, which is chaired by the Commissioner, leads on the recovery of the criminal justice system and is linked into the Local Resilience Forum and recovery groups. A Wessex CJS Recovery Group meets weekly and all CJS partners at senior levels are represented.

4.4 Details on the two existing risks considered moderate are:

- *Risk T1: Review of funding formula does not provide additional resources or reduces resources to Wiltshire*

It was anticipated that the Review would take place in Autumn 2020 but this is now likely to be delayed as a result of Covid-19. Work on the Comprehensive Spending Review is taking place at a national level which all Police and Crime Commissioners and Forces are contributing to. The score is maintained at 24.

- *Risk T3: Delayed implementation of new communication system as a result of national change-over from Airwave Tetra to new 4G based ESN*

The scoring of this risk is maintained at 24 as new national guidance and a full business case is still awaited. Wiltshire has agreed to take on the assurance role as from 1 April 2020 which will provide greater insight into emerging issues.

5.0 New Risk

5.1 The following risk has been identified and considered a major risk. Further information is provided below:

- *Risk T13: Reduced resources in 2020-21 impacting baseline and ability to deliver a police service*

This risk was identified as a new risk in June 2020. There is a lot of uncertainty around funding from 2020-21 and the Chief Finance Officer is closely monitoring the situation and providing regular updates to the Commissioner. Additional mitigation and controls will be added to the register as more information is received.

6.0 Future Reviews of Risk Register

6.1 This report and version of the Risk Register was considered by the Commissioner's Monitoring Board on will also be considered at the Police and Crime Panel on 12 August and will also be scrutinised by the Joint Independent Audit Committee meeting on 29 September 2020.

7.0 Staffing Implications

7.1 Any staffing implications are set out in the associated risk(s).

8.0 Financial Implications

8.1 Any financial implications are set out in the associated risk(s).

9.0 Legal Implications

9.1 Any legal implications are set out in the associated risk(s).

10.0 Sustainability

10.1 Any sustainability issues are set out in the associated risk(s).

11.0 Diversity Issues

11.1 Equality and diversity issues are reflected in the respective elements of the risk register.

12.0 Contribution to the Police and Crime Plan 2017-2021

12.1 The risk register is aligned to the Police and Crime Plan and identifies risks associated with not delivering the Plan and the priorities set out within it.

13.0 Recommendations

13.1 The Police and Crime Panel is invited to note the content of the report and the risk register.

Appendices:

Appendix A – PCC Risk Register

Appendix B – Glossary

ACRONYM	MEANING
ACCs	Assistant Chief Constables
APAC ² E	Association of Police and Crime Commissioners Chief Executives
APCC	Association of Police and Crime Commissioners
BUSS	Best Use of Stop and Search
CC	Chief Constable
CFO	Chief Finance Officer
CJB	Criminal Justice Board
CJS	Criminal Justice System
CMB	Commissioner's Monitoring Board
CPS	Crown Prosecution Service
CPT	Community Policing Team
CSPs	Community Safety Partnerships
D&C	Devon and Cornwall
DA	Domestic Abuse
DCC	Deputy Chief Constable
ESN	Emergency Services Network
FME	Forensic Medical Examiner
FMS	Force Management Statement
GCHQ	Government Communications Headquarters
GDPR	General Data Protection Regulations
HMICFRS	Her Majesty's Inspectorate of Constabulary and Fire & Rescue Service
HO	Home Office
HR	Human Resources
ICT	Information Communication Technology
JIAC	Joint Independent Audit Committee
LA / LAs	Local Authority / Local Authority's
MPs	Members of Parliament
MoJ	Ministry of Justice
MTFS	Medium Term Financial Strategy
NAO	National Audit Office
NPCC	National Police Chiefs Council
Op Fairline	First Salisbury incident
OP Fortis	Second Salisbury incident
OPCC	Office of Police and Crime Commissioner
P&C Plan	Police and Crime Plan
PACCTS	Police and Crime Commissioners Treasurer Society
Panel	Police and Crime Panel
PCC	Police and Crime Commissioner
PCP	Police and Crime Panel
PCSOs	Police Community Support Officers
PEEL	Police Effectiveness, Efficiency and Legitimacy Programme
RJ	Restorative Justice
S&S	Stop and Search
SA	Sexual Abuse
SARC	Sexual Assault Referral Centre
SD&T	Service, Delivery and Transformation
ACRONYM	MEANING

SW	South West
TOIL	Time Off In Lieu
WC	Wiltshire Council
WCJB	Wiltshire Criminal Justice Board
YOTs	Youth Offending Teams

RISK KEY

risk score 30+ Major
risk score 18-29 Moderate
risk score 8-17 Acceptable
risk score 1-7 Minor